

# Richard L. Kiger

## Consent Order

DATE: May 6, 2005

RESPONDENT: Richard L. Kiger

ORDER: Respondent entered into a Consent Order whereby he was barred from association with any broker-dealer or investment adviser registered under the Kansas Securities Act. Respondent was ordered to cease and desist from transacting business in the State of Kansas as a broker-dealer, agent, investment adviser, or investment adviser representative without registration. He was also ordered to cease and desist from selling unregistered securities and otherwise violating the Kansas Securities Act.

Respondent allegedly solicited \$549,658.85 from seventeen known investors for his day trading program and used most or all of the money for personal expenses.

**Notice to Investors:** If you are not a resident of Kansas and have been affected by actions that are similar to those described in this synopsis, you should consider contacting your state securities regulator for assistance. To locate your securities regulator, go to the [North American Securities Administrators Association](#) website. If you choose to contact your state securities regulator, please inform him or her of the action taken by the State of Kansas.